

Solutions, HW 4

• 3.3

(1)

$$\begin{aligned}\dot{x}_1 &= -x_1 + x_2^2 \\ \dot{x}_2 &= -x_2\end{aligned}$$

Try  $V(x) = \frac{1}{2}(x_1^2 + x_2^2)$ .

$$\dot{V}(x) = x_1(-x_1 + x_2^2) - x_2^2 = -x_1^2 - x_2^2 + x_1x_2^2$$

In the neighborhood of the origin, the term  $-(x_1^2 + x_2^2)$  dominates. Hence, the origin is asymptotically stable. Moreover

$$x_2(t) = e^{-t}x_{20}$$

$$\begin{aligned}\Rightarrow x_1(t) &= e^{-t}x_{10} + \int_0^t e^{-(t-s)}e^{-2s} ds x_{20}^2 \\ &= e^{-t}x_{10} + [e^{-t} - e^{-2t}] x_{20}^2\end{aligned}$$

For all  $x_0$ ,  $x(t) \rightarrow 0$  as  $t \rightarrow \infty$ , which implies that the origin is globally asymptotically stable.

(2)

$$\begin{aligned}\dot{x}_1 &= (x_1 - x_2)(x_1^2 + x_2^2 - 1) \\ \dot{x}_2 &= (x_1 + x_2)(x_1^2 + x_2^2 - 1)\end{aligned}$$

$$V(x) = ax_1^2 + bx_2^2, \quad a > 0, b > 0$$

$$\begin{aligned}\dot{V}(x) &= 2ax_1(x_1 - x_2)(x_1^2 + x_2^2 - 1) + 2bx_2(x_1 + x_2)(x_1^2 + x_2^2 - 1) \\ &= 2[ax_1(x_1 - x_2) + bx_2(x_1 + x_2)](x_1^2 + x_2^2 - 1)\end{aligned}$$

Let  $a = b$ .

$$\dot{V}(x) = -2a(x_1^2 + x_2^2)[1 - (x_1^2 + x_2^2)]$$

For  $x_1^2 + x_2^2 < 1$ ,  $\dot{V}(x)$  is negative definite. Hence, the origin is asymptotically stable. It is not globally asymptotically stable since there are other equilibrium points on the unit circle.

• 3.12 Take  $V(x) = -\frac{1}{6}x_1^6 + \frac{1}{4}x_2^4$ .

$$\dot{V}(x) = -x_1^5\dot{x}_1 + x_2^3\dot{x}_2 = x_1^6 + x_2^6 - x_1^5x_2^6 + x_2^3x_1^6$$

Near the origin

$$|-x_1^5x_2^6 + x_2^3x_1^6| \leq k(x_1^6 + x_2^6)$$

for some  $k > 0$ . Hence

$$\dot{V}(x) \geq (1 - k)(x_1^6 + x_2^6)$$

which shows that  $\dot{V}(x)$  is positive definite. Application of Chetaev's theorem shows that the origin is unstable.

• 3.17

(a)

$$\begin{aligned} 0 &= -x_1 + g(x_3) \\ 0 &= -g(x_3) \\ 0 &= -ax_1 + bx_2 - cx_3 \end{aligned}$$

From the properties of  $g(\cdot)$  we know that  $g(x_3) = 0$  has an isolated root  $x_3 = 0$ . Substituting  $x_3 = 0$  in the foregoing equations we obtain  $x_1 = x_2 = 0$ . Hence, the origin is an isolated equilibrium point.

(b)

$$\begin{aligned} V(x) &= \frac{a}{2}x_1^2 + \frac{b}{2}x_2^2 + \int_0^{x_3} g(y) dy \\ \dot{V}(x) &= ax_1[-x_1 + g(x_3)] - bx_2g(x_3) + g(x_3)[-ax_1 + bx_2 - cg(x_3)] \\ &= -ax_1^2 - cg^2(x_3) \leq 0 \end{aligned}$$

$$\dot{V}(x) = 0 \Rightarrow x_1(t) \equiv 0 \text{ and } x_3(t) \equiv 0 \Rightarrow \dot{x}_3(t) \equiv 0$$

From the third state equation we see that  $x_2(t) \equiv 0$ . Hence, by LaSalle's theorem (Corollary 3.1), the origin is asymptotically stable.

(c) To conclude that the origin is globally asymptotically stable, we need to know that  $V(x)$  is radially unbounded. But this is not guaranteed since

$$-yg(y) > 0, \forall |y| \neq 0 \not\Rightarrow \int_0^x g(y) dy \rightarrow \infty \text{ as } |x| \rightarrow \infty.$$

Consider, for example,  $g(y) = (1 - e^{-|y|})e^{-|y|}\text{sgn}(y)$ . For  $x > 0$ , we have

$$\int_0^x (1 - e^{-y})e^{-y} dy = 1 - e^{-x} - \frac{1}{2}(1 - e^{-2x}) \rightarrow \frac{1}{2} \text{ as } x \rightarrow \infty$$

Thus we cannot conclude that the origin is globally asymptotically stable.